

**ANNOUNCEMENT FOR GRANTING OF FUNDS FOR RESEARCH PROJECTS
IN THE ONCOLOGY AND CARDIOLOGY AREAS
YEAR 2012**

Fondazione Umberto Veronesi publishes a public competition for the granting of economic contributions (hereinafter "Contribution/s") concerning research projects (hereinafter "Project/s") with high scientific profile and ample effect on public health, in the areas of Oncologic and Cardiologic Prevention and Quality of Life.

Preference shall be given to Projects concerning the various aspects of primary and secondary prevention (diet, lifestyle, biomarkers, diagnostics aimed at early diagnoses, prevention medicine) and to those concerning the quality of life (minimal preservative treatments, personalised therapies, new medicines).

A project is considered research if, having formulated a theory, it envisages a plan to collect and use data which allows an experimental check of its consequences and it concludes by confirming or confuting the initial theory.

Projects shall be mono- or multi-centric and presented by Italian public and private institutions operating in the socio-sanitary and environmental fields.

Projects shall be drawn up following the guidelines attached (Attachment 1) and using the appropriate forms (Attachment 2) of this announcement (hereinafter "Announcement"), available on the website of Fondazione Umberto Veronesi www.fondazioneveronesi.it and must be sent to the email address bandi@fondazioneveronesi.it **no later than midnight of 15th October 2011.**

Fondazione Umberto Veronesi shall not be held responsible for failure to receive applications due to system failures or failures of third parties, for fortuitous cases or for circumstances beyond our control. The e-mail's object and name of the attached file shall contain the name of the Project's manager in full (hereinafter "Project Manager"), of the organisation (hereinafter "Organisation") responsible for the management of the possible contribution (failing different indications) and the year (for example, Mario rossi-san paolo 09.doc).

For any further explanation, feel free to contact Fondazione Umberto Veronesi at the following e-mail address: bandi@fondazioneveronesi.it.

The Project shall have a two-year duration. The Contribution shall be paid in line with indications found in paragraph 12 of Attachment 1 concerning the Global Budget. As far as the share of Contribution relating to the second year is concerned, it shall be paid by Fondazione Umberto Veronesi subject to presentation of the Project's scientific and administrative Report in written form by the Project Manager and/or Organisation. Failure to present such a Report or should the same or other information made available to Fondazione Umberto Veronesi result that activities anticipated for the Project's first year have not been achieved for causes dependent on the Project Manager or the Organisation, Fondazione Umberto Veronesi will be free to stop the disbursement of the Contribution until the report is sent and/or until all activities concerning the Project's first year are achieved.

In order for Projects to be admitted for evaluation, they must respect the indications of the present Announcement, i.e.:

- Have the connotations of research project;
- Treat themes such as Oncology, Cardiology or Quality of Life;
- Anticipate results transferrable on a national and international scale and have an effect on public health.

The Scientific Committee shall evaluate Projects – at its own discretion – awarding a score from 1 to 5 (in which 5 is top mark) in relation to each of the following criteria:

1. Project's quality. The following shall be considered: scientific and technological merit of the theme being studied, its importance, novelty, originality and relevance in terms of public health.
2. Project feasibility. The following shall be considered: clearness of objectives, statistical evaluation, method of approach.
3. Project Manager's Curriculum. Particular importance will be given to international scientific production with high impact factor.

The minimum score for a Project to be financed is 7.

A list of approved Projects will be prepared and published by 31st December 2011 on Fondazione Umberto Veronesi's website in the area dedicated to Announcements.

Fondazione will have the right to supply information concerning the Projects, assignees and not of the Contribution, to third parties who may be potentially interested in supporting, taking part or collaborating in the development of the same Projects.

The terms of payment of the Contribution will be defined – in compliance with the Law and in concordance with Fondazione Umberto Veronesi availability in cash - in virtue of the financial laws in force, in the agreement (hereinafter "Agreement") which will be endorsed by the Project manager, the Organisation's Legal Representative, the legal entity which will receive and manage the Contribution (if different from the Organisation) and by the holders of intellectual and patent rights indicated in paragraph 13 of Attachment 1 of this Project (if different from the Project manager and Organisation). Likewise, the Agreement shall also define the terms and timing of the scientific and economic report and conditions and terms relating to the rights of the holders of intellectual and patent right according to the principles found in the Policy here following in terms of Intellectual and Patent Property Rights. It is understood that the winners of the Announcement may receive the Contribution on after the aforementioned Agreement has been signed by all parties.

POLICY FOR THE PROTECTION OF INTELLECTUAL AND PATENT PROPERTY RIGHTS

1. "IP Rights" are defined as any Italian patent, European patent, national patent, international patent (PCT), complementary certificate, supplementary protection certificate (SPC), continuation, provisional, continuation-in-part, divisional, substitution, extension, reexamination, renewal, utility model deriving from results obtained during the aforementioned research activity, as well as any know-how, including intellectual property rights, among other things, software obtained or created by the Research

Manager or Organisation, or by their employees and collaborators, during the research activities relating to the Project.

2. Legal ownership of IP Rights are acknowledged exclusively to the Project Manager and/or Organisation and/or possible other subjects specified in paragraph 13 of Attachment 1 of this Project (hereinafter, globally “IP Right Holders”).
3. Fondazione Umberto Veronesi shall not acquire legal ownership concerning the above IP Rights.
4. IP Right Holders commit to pay out 1% (one percent) of proceeds resulting from any economic exploitation of IP rights by IP Right Holders (hereinafter “Royalties”) to Fondazione Umberto Veronesi for the duration of the IP Rights.
5. Fondazione Umberto Veronesi declares that – according to this Announcement - amounts received as Royalties shall be reinvested entirely by Fondazione Veronesi in activities of subsidy, promotion and support of scientific research.
6. Royalties shall be paid to Fondazione Umberto Veronesi on a quarterly basis, starting from receipt by IP Right Holders of proceeds resulting from the economic exploitation of IP rights. Royalties shall be paid based on written reports sent to IP Right Holders, 15 (fifteen) days prior to the quarterly expiry date.
7. Fondazione Umberto Veronesi shall not bear any expense or cost relating to the deposit, patent, maintenance, continuation and management of IP Rights, nor will it bear any expense or cost relating to the protection or defence of the same with whatever body or authority, national or international, nor, in general, will it be held responsible to pay – in addition to the Contribution – any other amount, for whichever reason, to the Project Manager, Organisation or other IP Right Holders relating to IP Right management and their exploitation.
8. IP Right Holders commit to defend IP Rights from possible claims or legal actions claimed by third parties, at their own expense.
9. The Project Manager and Organisation commit to keeping Fondazione Veronesi constantly updated in matters concerning: i) the possible deposit of patent requests of such results and the activities of continuation and maintenance and defence of such results, ii) the activities of economic exploitation relating to IP Rights.
10. Following communication of the granting of the Announcement, Fondazione Veronesi, the Project Manager, the Organisation and the IP Right Holders shall regulate terms and conditions pertaining to the exploitation of IP Rights, in line with the guidelines present in this Announcement, and include them in the Convention.

ATTACHMENT 1

GUIDELINES FOR THE PREPARATION OF THE RESEARCH PROJECT - 2012

1. Scientific Project Manager and proposing Organisation. Data relating to the Scientific Project Manager and to the proposing Organisation and its Legal representative must be inserted. Information must include the operational location in which the research will be carried out. In addition, the name of the legal entity benefiting from the Contribution and responsible for its management must be specified, should this be different from that of the Organisation.

2. Project Title. Use a maximum of 150 characters, including spaces.

3. Total financing requested. The global sum requested must not be over 100,000.00 euro per year (for a maximum of 200,000.00 euro).

4. Project type and duration. Only mono- or multi-centric projects are admitted. The project may have a duration of 2 years. The Project Manager is responsible for the scientific and administrative management of the Project as well as the collection and processing of results for each Operative Unit.

5. Project Summary (2,000 characters maximum, including spaces). Describe the Project according to the following draft, subdivided into paragraphs: rationale, objectives, methods, implications on public health.

6. Background and rationale (4,000 characters maximum, including spaces). Briefly describe the current situation concerning the theme which leads to this request, with a critical evaluation of the current knowledge and specifically indicating the unknown or uncertain aspects which this Project intends to answer.

7. Project Objectives (2,000 characters maximum, including spaces). Indicate clearly the specific objectives which the research intends to reach. Describe the theory which this Project intends to verify and on which the calculus of the statistical sample shall be defined, if applicable.

8. Project Method (12,000 characters, including spaces). Describe the research's plan in detail, the procedures and methods used to obtain specific objectives. Include the description of methods for the collection, analysis and interpretation of results, including statistical calculations of the power of the study. Discuss any potential difficulties and limits in the methods proposed. A timeline of the Project must be supplied, indicating its different phases of development. In this section, any preliminary results may be described, helpful in setting the proponent's experience and competence on the theme.

9. Fundamental Bibliography. Include a maximum of 20 bibliographic items, using the J. Natl. Cancer Inst. as model: first three authors, title, volume, year. Applicants are requested to include the top scientific value indexed literature.

10. Personnel involved in the Project. The specific table must be completed with details concerning personnel involved directly in the management of the study, starting from the Project Manager. Should some figures still be in definition, insert “to be defined” in the column concerning his/her name, leave the column concerning the position blank but insert requested information in the column concerning role and percentage of time dedicated to the Project. Do not insert names of personnel unless they are involved in scientific activities (such as for example, secretaries or administration personnel).

11. Project Manager's Curriculum Vitae. Insert a brief curriculum vitae of the Project Manager, specifying publications of the last five years. Do not list the abstracts, conference documents and chapters in books. Each bibliographical item must be supplied with relative Impact Factor.

12. Global Budget. This table must be completed with total costs anticipated subdivided per year according to the Project's duration. The total economic request must be suitable for the scientific activity described in the relative sections and not exceed 100,000.00 euro per year (for a maximum of 200,000.00 euro).

The only costs admitted are those concerning the cost categories shown in the table. In particular, requests for the funding of employee salaries are NOT admitted. In general, the purchase of equipment is not recommended. It will be approved only in cases deemed indispensable for the achievement of the Project. Each item for which funding is requested must be described in detail in order to justify such amounts. The Project Manager must prepare a budget plan including cost requests for each Operational Unit (paragraph 12). In any case, requests of each single Operational Unit shall be managed by the Project Manager.

a. External Personnel

Contributions for grants, consultancies, fixed term contracts may be requested.

b. Consumables

Give details concerning the type of material necessary for the achievement of the Project.

c. Missions

Describe the number and type of expected trips (for example, participation in Congresses, Project meetings).

d. Popularization Costs

A sum must be envisaged for the popularization of the results obtained: at least one publication with the study's results is requested in order to give visibility to the activities carried out by Fondazione Umberto Veronesi.

e. General Expenses

General expenses, for a maximum of 10% of the research's direct costs, cover administration costs and all those non ascribable directly to scientific activity (please note that “non profit” spontaneous research projects financed by non-profit making organisations enjoy economic benefits as per D.M. 17th December 2004).

13. IP Right Holders. Indicate the names and data of the IP Right Holders, as defined by the Announcement, and shares of IP Rights of which each is joint owner.

14. Other financing or contributions. The presence of financing resources or payment of other contributions on behalf of third contributing parties in favour of the Project must be declared.

**ATTACHMENT 2
FONDAZIONE UMBERTO VERONESI
2012 CONTRIBUTION REQUEST**

1. PROJECT MANAGER AND ORGANISATION

NAME AND SURNAME

POSITION

ORGANISATION

ADDRESS

TOWN AND POSTAL CODE

TELEPHONE FAX NUMBER

E-MAIL

ORGANISATION'S LEGAL REPRESENTATIVE

ADDRESS

E-MAIL

2. PROJECT TITLE (150 CHARACTERS MAXIMUM)

3. GLOBAL CONTRIBUTION REQUESTED

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8. PROJECT OBJECTIVES (2,000 characters maximum, including spaces)

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9. FUNDAMENTAL BIBLIOGRAPHY (20 items maximum)

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10. LIST OF KEY PERSONNEL INVOLVED IN THE PROJECT

Position and role in the Project

||

% time dedicated

||

Position and role in the Project

||

% time dedicated

||

11. PROJECT MANAGER'S CURRICULUM VITAE (4,000 characters maximum, including spaces) and Publications of the last 5 years (2007 to 2011)

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12. GLOBAL BUDGET PER YEAR

Costs	Year 1	Year 2	Total
A) EXTERNAL PERSONNEL (GRANT RECIPIENTS, CONSULTANTS, CONTRACT EMPLOYEES)			
B) CONSUMABLES			
C) MISSIONS			
D) POPULARIZATION COSTS			
E) GENERAL EXPENSES MAXIMUM 10% OF DIRECT COSTS			
TOTAL COSTS			

Personal data treatment

According to Article 13 of the Italian Personal Data Protection Code (D. Lgs. 30/06/2003, n. 196, the Code), participants of the Announcement and Holder of IP Rights in addition to the Project Manager and the Organisation are informed that treatment of their personal data supplied by the same participants to the Announcement (Project Manager and possible Organisations) in their application is finalised exclusively to selecting the Projects according to the Announcement (for example: evaluation of the Project, of the Project Managers' Curricula, calculation of Royalties and, in case the Contribution is granted, execution of all activities necessary to draw up the Convention).

Data shall be treated by the Scientific Committee and by Fondazione Umberto Veronesi personnel in charge of selecting the Projects, with procedures which may also be computerised, in the manner and limits necessary to obtain such aims. Conferment of data is necessary for the evaluation of participation requirements and possession of titles, and failure to indicate them may preclude such evaluation. Should participants agree in their application and in accordance with rules set by the same, documentation concerning their participation, including personal data inserted in it, may be communicated to third parties (also situated outside the European Union) who, based on possible agreements with Fondazione Umberto Veronesi or on the latter's initiative, may be interested in contributing to financing one or more Projects and therefore to supplying further financing possibilities to the same Projects. A list if such subjects is available at Fondazione Umberto Veronesi. In addition, with the participants' consent, Projects which are approved and assignees of Contributions (including, therefore, minimum data of Project Managers and Organisations, for example name) may be published and therefore popularised on Fondazione Umberto Veronesi's website so as to guarantee maximum openness. Interested parties are holders of the rights as per Article 7 of the aforementioned Code, in particular, the right to access their personal data, to request alterations, updates and cancellation, if incomplete, mistaken or collected in breach of the Law, as well as to oppose to their treatment for legitimate reasons by addressing their requests to Fondazione Umberto Veronesi, headquartered in piazza Velasca, 5 – 20122 Milan, Italy.

By virtue of the specified items of the aforementioned law on personal data treatment:

The Project Manager declares he has informed all Holders of IP Rights in addition to the Project Manager and the Organisation details concerning the Announcement's specific information and

- consents to communicating (and possible connected transfer outside the European Union) of his/her data and those relating to the Project to organisations to which Fondazione Umberto Veronesi may signal Projects to be financed, in addition to relative treatment for aims described in the Announcement's specific information

YES

NO

- consents to publishing of his/her data on Fondazione Umberto Veronesi's website or on similar websites should the Project be assignee of Contributions

YES

NO

Project Manager

b) Organisation, having inspected the Announcement's specific information

- consents to communicating data and those relating to the Project to organisations to which Fondazione Umberto Veronesi may signal Projects to be financed, in addition to relative treatment for aims described in the Announcement's specific information

YES

NO

- consents to publishing of data on Fondazione Umberto Veronesi's website or on similar websites should the Project be assignee of Contributions

YES

NO

Organisation's Legal Representative's Signature

c) Holders of IP Rights in addition to the Project Manager and the Organisation, having inspected the Announcement's specific information, consent to the treatment of all data necessary to participate in the Announcement and the communication (and possible transfer outside the European Union) of their data, to organisations which Fondazione Umberto Veronesi may signal Projects to be financed, in addition to relative treatment for aims of the Announcement's specific information.

Name in capital letters and legible signature of each of the following subjects, in case of consent:

Signature of the IP Right Holder (if different from Project Manager and Organisation)

Signature of the IP Right Holder (if different from Project Manager and Organisation)

Signature of the IP Right Holder (if different from Project Manager and Organisation)
